**Rising to the Top: Differentiating Funds in a Crowded Marketplace** 

**September 12, 2013** 

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# **Biographies**

### NATHANIEL BAKER

#### **Bloomberg L.P.**

Nathaniel Baker is the editor of Bloomberg L.P. *Hedge Funds Brief* online newsletter—a weekly inside look at what is going on in the industry. He is a regular speaker at Bloomberg Link conferences, which bring together the who's who in the financial community around the world. Nathaniel has also spoken at industry events hosted by the New York Hedge Fund Roundtable, Argyle Executive Forum, Hofstra University, Alpha-Institute and others. Prior to joining Bloomberg, he was a senior editor at *The Deal* and at *Institutional Investor (II)*. At II, he was managing editor of *Alternative Investment News* and a contributor to *The Journal of Alternative Investments* and to *Alpha-Absolute Return*, among others. He also has appeared on Bloomberg Radio and Television and CNBC.

### HENRY BREGSTEIN

#### Katten Muchin Rosenman LLP

Henry Bregstein is the global co-chair of Katten's Financial Services practice, where he advises hedge funds and a wide array of other clients on regulatory, securities, tax, finance, licensing, corporate and other matters. He provides guidance on fund formation and regulatory advice related to hedge funds, counseling clients on the structure and documentation of hedge fund derivative and other OTC derivative products, as well as hedge fund lending transactions, subscription finance, prime broker leverage and various other products. In addition to providing assistance during the financial crisis, Henry regularly assists his clients with regulatory matters, such as responding to FINRA requests and advising on the effects of the Dodd-Frank Act. He is frequently mentioned in the media, including The Legal 500, Chambers Global and Chambers USA, and lauded by clients and colleagues alike for his work representing fund clients.

### KHE HY

#### **BlackRock Alternative Advisors**

Khe Hy, CFA, managing director, is a member of BlackRock Alternative Advisors (BAA), the firm's Hedge Fund Solutions team. Khe is a member of the Absolute Return Strategy Manager Research group within BAA. He is responsible for the sourcing, performance of due diligence on and monitoring of hedge fund managers. Prior to joining BlackRock in 2007, Khe was a senior analyst at Treesdale Partners LLC, a specialty fixed-income fund of hedge funds. At Treesdale, he was responsible for manager sourcing, all aspects of due diligence and business development. Additionally, he helped develop risk management systems enabling security-level analysis of the underlying managers' portfolios. Prior to joining Treesdale in 2003, Khe was an M&A and restructuring analyst at Broadview International, a technology-focused investment bank. He earned a BS in computer science from Yale University in 2001.

### CHAND SOORAN

#### Point Frederick Capital Management, LLC

Chand Sooran is the chief investment officer, manager and founding member of Point Frederick Capital Management LLC, where he serves as the portfolio manager of a special situations fund investing with a value orientation. Prior to founding Point Frederick, Chand served as a portfolio manager for Distressed and Developed Markets Value Equities at CQS; he has also held senior trading and analyst positions at Columbus Hill Capital Management and Lehman Brothers. He is a graduate of the Royal Military College of Canada, Queen's University at Kingston and the MIT Sloan School of Management, with a specialization in the Financial Engineering Track. He served as a commissioned officer in the Royal Canadian Navy.

#### LANCE ZINMAN

### Katten Muchin Rosenman LLP

Lance Zinman heads Katten's Chicago Financial Services practice and sits on the firm's Board of Directors and Executive Committee. He provides comprehensive legal services to institutional and emerging asset managers and proprietary trading firms on a wide range of issues, including formation structure, futures, derivatives, securities and other regulatory matters, trading issues, brokerage and derivatives documentation, tax planning, IP matters, labor issues, financings, M&A, joint ventures and seed deals. Lance has significant experience with volatility, algorithmic, low-latency and other trading strategies involving the use of futures, options and other derivatives, and has been recognized by many publications, including Crain's Chicago Business "40 Under 40" list, "40 Under 40 to Watch" by the Chicago Daily Law Bulletin, and the "Next Generation of Leaders" by Chicago Lawyer magazine.

## CHRISTOPHER ZUECH Vermillion Asset Management, LLC

Christopher Zuech is the chief operating officer of Vermillion. Christopher has extensive experience in the financial and alternative investments industry and in developing, designing and implementing financial systems. Prior to joining Vermillion in 2005, he was chief financial officer and chief administrative officer for Lyra Capital and Starview Capital Management. Prior to joining Lyra and Starview, Christopher was a senior vice president at ADP/OMR where he ran the hedge fund administration group. He was also an equity partner in OMR Systems. Prior to the sale of OMR Systems to ADP, he ran various facets of the business including client services, sales and software development. Before joining OMR, Christopher worked at Deutsche Bank, Balis, Zorn, Gerard and EF Hutton in various roles. He is a graduate of Mt. St. Mary's University.

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matters. He provides guidance on fund formation and regulatory advice related to hedge funds, counseling clients on the structure and documentation of hedge fund derivative and other OTC derivative products, as well as hedge fund lending transactions, subscription finance, prime broker leverage and various other products. In addition to providing assistance during the financial crisis, Henry regularly assists his clients with regulatory matters, such as responding to FINRA requests and advising on the effects of the Dodd-Frank Act. He is frequently mentioned in the media, including *The Legal 500, Chambers Global* and *Chambers USA*, and lauded by clients and colleagues alike for his work representing fund clients.

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Research group within BAA. He is responsible for the sourcing, performance of due diligence on and monitoring of hedge fund managers. Prior to joining BlackRock in 2007, Khe was a senior analyst at Treesdale Partners LLC, a specialty fixed income fund of hedge funds. At Treesdale, he was responsible for manager sourcing, all aspects of due diligence and business development. Additionally, he helped develop risk management systems enabling security-level analysis of the underlying managers' portfolio. Prior to joining Treesdale in 2003, Khe was an M&A and restructuring analyst at Broadview International, a technology-focused investment bank. He earned a BS in computer science from Yale University in 2001.

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a value orientation. Prior to founding Point Frederick, Chand served as the Portfolio Manager for Distressed and Developed Markets Value Equities at CQS, and has also held senior trading and analyst positions at Columbia Hill Asset Management, Lehman Brothers, Scotia Capital Markets, Dresdner Bank AG, and RBC Capital Markets, in addition to starting a risk consulting group in Canada in 1998. He is a graduate of the Royal Military College of Canada, Queen's University at Kingston and the MIT Sloan School of Management, with a specialization in the Financial Engineering Track. He is certified as a Financial Risk Manager (FRM<sup>™</sup>) and is both a CFA Charterholder and member of the CFA Institute.

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